



<b>Title:</b> <b>Suspected Fraud or Irregular Activities Policy</b>	<b>Policy Category:</b> <b>Risk Management &amp; Security</b>
<b>Issuing Authority:</b> <b>President</b>	<b>Responsibility:</b> <b>Audit &amp; Management Advisory Services</b>
<b>Publication Date:</b> <b>11/15/2022</b>	<b>Next Review Date:</b> <b>11/15/2025</b>

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### **Policy Statement/Background:**

None

### **Policy:**

[State University of New York \(SUNY\) Document #9001](#) sets forth the requirements for SUNY campuses to report suspected fraud or irregular activities. Campuses are required to identify one official to whom suspected improprieties should be reported. At Stony Brook, such reports are made to the Assistant Vice President for Audit & Management Advisory Services (Internal Audit) who coordinates with other University offices (e.g. Counsel, Police, Finance, Human Resources, Hospital, Veterans Home) as necessary to investigate the allegation, files reports (as required) with SUNY, and serves as the University liaison to the Office of University Audit and the Fraud Investigation Committee at SUNY System Administration.

### **Definitions:**

None

**Contact:**

Additional information about this policy is available here:

**Office of Audit & Management Advisory Services**

291 Administration Building

Stony Brook, NY 11794

(631) 632-1439

<https://www.stonybrook.edu/commcms/audit/index.php>

**Relevant Standards, Codes, Rules, Regulations, Statutes and Policies:**

- [SUNY Procedure 9001: Fraud and Irregularities, Procedure on Reporting and Reviewing Fraud and Irregularities](#)
- [SUNY Policy 9002: Fraud and Irregularities, Policy on Fraud and Irregularities](#)
- [Stony Brook Fraud Hotline](#)