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CHANSOG (FRANCIS) KIM

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EDUCATION:

PhD, Accounting, Baruch College of City University of New York, 1994.
MBA, Business, Western Illinois University, 1986
B.A., Economics (Major) and Accounting (Minor), Sogang University, Seoul, Korea,
1981.

ACADEMIC EXPERIENCE:

State University of New York at Stony Brook, Professor with Tenure, 2018 – Present.
State University of New York at Stony Brook, Associate Professor with Tenure, 2014 –
2018.
State University of New York at Stony Brook, Head of Accounting Area, College of
Business, 2015 – Present.
State University of New York at Stony Brook, Member of Curriculum Review
Committee in College of Business, 2016 – Present.
State University of New York at Stony Brook, Member of Senior Faculty Committee in
College of Business, 2014 – Present.
State University of New York at Stony Brook, Member of Accounting Search
Committee, 2015 – 2016.
State University of New York at Stony Brook, Chair of Tenure Committee in College of
Business for Zhifeng Yang and Danling Jiang, 2016.
State University of New York at Stony Brook, Member of Tenure Committee in College
of Business for Aaron Kim, 2017.

Wayne State University, Associate Professor, 2013 – 2014.
Wayne State University, Member of Educational Development Grant Committee in
Business School, 2013 – 2014.
Wayne State University, Member of Senate Research Sub-committee in Business School,
2013 – 2014.
Wayne State University, Member of Faculty Search Committee in Accounting, 2013 –
2014.
Wayne State University, Chair of Journal List Committee in Accounting, 2013 – 2014.

City University of Hong Kong, Tenured Full Professor, 2011 – 2013.
City University of Hong Kong, Tenured Associate Professor, 2010 – 2011.
City University of Hong Kong, Associate Professor, 2004 – 2010.

City University of Hong Kong, PhD Program Coordinator in Accountancy Department, 2008 - 2013.
City University of Hong Kong, Research Seminar Coordinator in Accountancy Department, 2007 – 2013.
City University of Hong Kong, Member of Board of Graduate Studies, 2011 – 2013.
City University of Hong Kong, Member of College of Business Graduate Studies, 2010 – 2013.
City University of Hong Kong, Member of Departmental Staffing Committee, 2004 – 2013.
City University of Hong Kong, Member of Departmental Executive Committee, 2010 – 2013.
City University of Hong Kong, Member of Departmental Research Committee, 2004 – 2013.
City University of Hong Kong, Member of Departmental Head Search Committee, 2008 – 2009.

Queens College of City University of New York, Tenured Associate Professor, 2001 – 2004.
Queens College of City University of New York, Assistant Professor, 1994 – 2001.
Queens College of City University of New York, Departmental Delegate for Queens College Academic Senate, 1995 – 1997, 1999 – 2004.

Baruch College of City University of New York, Adjunct Lecturer, 1989 – 1994.
Baruch College of City University of New York, Research Assistant, 1988 – 1993.

DISSERTATION:

"*Broker-Analysts' Earnings Forecast Bias and Trade-Boosting Incentive*," (1994)
Committee: Steven Lilien (Supervisor), Steven Lim, Steven Lustgarten, and Samir El-Gazzar.

PAPERS PUBLISHED/ACCEPTED:

Kim, C.F., K. Wang, and L. Zhang, 2018, Readability of 10-K Reports and Stock Price Crash Risk, Accepted for publication in *Contemporary Accounting Research* (JCR Impact factor 2.269, Financial Times Top 50 Business Journal).

Kim, C.F., I. Kim, C. Pantzalis, and J. Park, 2018, Policy Uncertainty and the Dual Role of Corporate Political Strategies, Accepted for publication in *Financial Management* (JCR Impact factor 1.517).

Kim, C.F., I. Kim, C. Pantzalis, and J. Park, 2018, Corporate Political Strategies and Return predictability, Accepted for publication in *Financial Analysts Journal* (JCR Impact factor 1.413).

Kim, C.F., and L. Zhang, 2016, Corporate Political Connections and Tax Aggressiveness, *Contemporary Accounting Research* 33 (1), 78-114 (JCR Impact factor 2.269, Financial Times Top 50 Business Journal).

Kim, C.F., C. Pantzalis and J. Park, 2014, Do family owners use firm hedging policy to hedge personal undiversified wealth risk? *Financial Management* 43 (2), 415-444 (JCR Impact factor 1.517).

- Kim, C.F., C. Pantzalis and J. Park, 2012, Political Geography and Stock Returns: The Value Implications of Proximity to Political Power, *Journal of Financial Economics* 106 (1), 196-228 (JCR Impact factor 4.505, *Financial Times Top 50 Business Journal*).
- Chen, C., Y. Ding, and C.F. Kim, 2010, High-level politically connected firms, corruption, and analyst forecast accuracy around the world, *Journal of International Business Studies* 41 (9), 1505-1524 (JCR Impact factor 5.869, *Financial Times Top 50 Business Journal*).
- Doukas, J., C.F. Kim, and C. Pantzalis, 2010, Arbitrage Risk and Stock Mispricing, *Journal of Financial and Quantitative Analysis* 45 (4), 907-934 (JCR Impact factor 2.049, *Financial Times Top 50 Business Journal*).
- Choi, J.H., C.F. Kim, J.B. Kim, Y.S. Zang, 2010, Audit Office Size, Audit Quality and Audit Pricing, *Auditing: A Journal of Practice & Theory* 29 (1), 73 – 97 (JCR Impact factor 1.937).
- Kim, C.F., S. Margetis, and C. Pantzalis, 2009, Financial Sector Diversification and MNC Valuation, *Journal of Multinational Financial Management* 19 (5), 343-354.
- Doukas, J., C.F. Kim, and C. Pantzalis, 2008, Do analysts influence corporate financing and investment? *Financial Management* 37 (2), 303-339 (JCR Impact factor 1.517).
- Doukas, J., C.F. Kim, and C. Pantzalis, 2006, Divergence of Opinion and Equity Returns, *Journal of Financial and Quantitative Analysis* 41 (3), 573-606 (JCR Impact factor 2.049, *Financial Times Top 50 Business Journal*).
- Doukas, J., C.F. Kim, and C. Pantzalis, 2006, Divergence of Opinion and Equity Returns under Different States of Earnings Expectations, *Journal of Financial Markets* 9 (3), 310-331 (JCR Impact factor 1.134).
- Doukas, J., C.F. Kim, and C. Pantzalis, 2005, Two Faces of Analyst Coverage, *Financial Management* 34 (2), 99-125 (JCR Impact factor 1.517).
- Doukas, J., C.F. Kim, and C. Pantzalis, 2004, Divergent Opinions and the Performance of Value Stocks, *Financial Analysts Journal* 60 (6), 55-64 (JCR Impact factor 1.413).
- Kim, C.F., C.K. Min, and C. Maden, 2004, Chaebols and Corporate Governance in South Korea, 177-198, Chapter 9 of “*The Governance of East Asian Corporations: Post Asian Financial Crisis*,” Edited by F. A. Gul and J. S. L. Tsui.
- Kim, C.F., and C. Pantzalis, 2003, Global/Industrial Diversification and Analyst Herding, *Financial Analysts Journal* 59 (2), 69-79 (JCR Impact factor 1.413).
- Doukas, J., C.F. Kim, and C. Pantzalis, 2002, A Test of the Errors-in-Expectation of the Value/Glamour Stock Returns Performance: Evidence from Analysts' Forecasts, *Journal of Finance* 57 (5), 2143-2165 (JCR Impact factor 6.043, *Financial Times Top 50 Business Journal*).
- Kim, C.F., S. Kim, and C. Pantzalis, 2001, Firm Diversification and Earnings Volatility: An Empirical Analysis of U.S.-based MNCs, *American Business Review* 19 (1), 26-38.
- Doukas, J., C.F. Kim, and C. Pantzalis, 2000, Security Analysis, Agency Costs, and Firm Characteristics, *Financial Analysts Journal* 56 (6), 54-63 (JCR Impact factor 1.413).

- Levine, M., C.F. Kim, J. Siegel, 1999, Background on the EURO, *The CPA Journal* 69 (4), 44-46.
- Pantzalis, C., C.F. Kim, S. Kim, 1998, Market Valuation and Equity Ownership Structure: The Case of Agency Conflict Regimes, *Review of Quantitative Finance and Accounting* 11 (3), 249-268.

WORKING PAPERS:

- Kim, C.F., H. Kyung, and L. Zhang, 2018, Political uncertainty, political connection and corporate investment, 1st Revision Resubmitted, *Review of Accounting Studies*.
- Feng, C.Q. and C.F. Kim, 2018, Mandated XBRL Adoption and Investment Efficiency, Submit, *The Accounting Review*.
- Billings, A., C.F. Kim, and C. Lee, 2018, Are Earnings Repatriation Elections under the 2004 American Jobs Creation Act Influenced by APB 23 Declarations? Submit, *Journal of the American Taxation Association*.
- Gao, Xinghua, Yonghong Jia, and C.F. Kim, 2018, The Effect of State Antitakeover Laws on Corporate Tax Avoidance, Submit, *Journal of Financial and Quantitative Analysis*.
- Amin, K., C.F. Kim, and Z. Yang, 2018, Politically Connected Board and Audit Pricing, submit, *Contemporary Accounting Research*.
- Kim, C.F., I. Kim, C. Pantzalis, and J. Park, 2018, The Value and Risk Implications of Corporate Political Strategies, Submit, *Journal of Banking and Finance*.

ELECTRONIC NETWORK:

Total downloads of my papers listed on Social Science Research Network (SSRN): More than 9,000.

- Gao, Xinghua, Yonghong Jia, and Chansog (Francis) Kim, 2015, "The Effect of State Antitakeover Laws on Corporate Tax Avoidance," listed on SSRN's (Social Science Research Network) Top Ten Download List for the following sections:
- (1) CGN: Corporate Law Including Merger & Acquisitions Law (Sub-Topic),
 - (2) LSN: Takeover Law (Topic)
 - (3) Tax eJournal

- Kim, C.F. and L. Zhang, 2013, "*Corporate Political Connections and Tax Aggressiveness*," listed on SSRN's (Social Science Research Network) Top Ten Download List for the following sections:
- (1) Law & Politics eJournal,
 - (2) Political Behavior: Cognition, Psychology, & Behavior eJournal,
 - (3) Auditing, Litigation & Tax eJournal,
 - (4) Other Political Economy: Taxation, Subsidies, & Revenue eJournal,
 - (5) Political Behavior (Topic),
 - (6) Other Political Economy: Taxation, Subsidies, & Revenue (Topic),
 - (7) Empirical Studies (Law & Politics) (Topic).

- Doukas, J., C. Kim, and C. Pantzalis, 2003, "*Investor Uncertainty and Superior Performance of Value Stocks*," listed on SSRN's (Social Science Research

Network) Top Ten Download List for “European Finance” Recent Hits.

Doukas, J., C. Kim, and C. Pantzalis, 2001, “*The Value/Glamour Anomaly: Evidence from Analysts’ Forecasts*,” listed on SSRN’s (Social Science Research Network) Top Ten Download List for “2001 FEN Conference and Meetings” Recent Hits.

Doukas, J., C. Kim, and C. Pantzalis (2001), “*The Value/Glamour Anomaly: Evidence from Analysts’ Forecasts*,” listed on SSRN’s (Social Science Research Network) Top Ten Download List for “Capital Markets: Market Efficiency” Recent Hits.

Doukas, J., C. Kim, and C. Pantzalis, 2001, “*The Value/Glamour Anomaly: Evidence from Analysts’ Forecasts*,” listed on SSRN’s (Social Science Research Network) Top Ten Download List for “2001 European Financial Management Association Meetings” Recent Hits.

Doukas, J., C. Kim, and C. Pantzalis, 2000, “*Security Analysis, Agency Costs, and Firm Characteristics*,” listed on SSRN’s (Social Science Research Network) Top Ten Download List for “Experimental and Empirical Studies” Recent Hits.

Doukas, J., C. Kim, and C. Pantzalis, 2000, “*Security Analysis, Agency Costs, and Firm Characteristics*,” listed on SSRN’s (Social Science Research Network) Top Ten Download List for “Corporate Law: Finance and Corporate Governance” Recent Hits.

Doukas, J., C. Kim, and C. Pantzalis, 2000, “*Security Analysis, Agency Costs, and Firm Characteristics*,” listed on SSRN’s (Social Science Research Network) Top Ten Download List for “Organizations and Markets” Recent Hits.

OTHERS:

Served as a Member of Ph.D. Dissertation:

Matthew Ross (2014), “Elections and Asset Pricing: The Politically Sensitive Equity of US Military Contractors,” Finance Department of Wayne State University, Committee: Mbodja Mougoué (Supervisor), Francis C. Kim, Daniel Dorn, Margaret Smoller, Tatsuma Wada
Initial placement of Matt Ross: Western Michigan University, Assistant Professor of Finance

Served as Supervisor of Ph.D. Dissertation:

Hong Weng (Lawrence) Lei (2014), “Firm-Specific vs. Industry-Specific Labor Union: Does It Matter on the Relation between Labor Unions and Tax Aggressiveness,” City University of Hong Kong, Committee: Francis C. Kim (Supervisor), Raymond Wong, Liandong Zhang, Ben-Hsien Bao
Initial placement of Lawrence Lei: Hang Seng Management College in Hong Kong, Assistant Professor

Served as Supervisor of Ph.D. Dissertation:

Zhongqiang (Alan) She (2014), “The impact of Prestigious Underwriter and Its Affiliated Venture Capital on Earnings Management and Underpricing of IPO

Issuer,” City University of Hong Kong, Committee: Francis C. Kim (Supervisor), Zhifeng Yang, Hao Zhang, Lixin Susan Su
Initial placement of Zhongqiang (Alan) She: Huashang Fund Management Co., Ltd. at Beijing, Fund Manager.

Served as Supervisor of Ph.D. Dissertation:

Yuxiao (Oliver) Zhou (2013), “Accounting Comparability and Management Turnover: U.S. Evidence,” City University of Hong Kong, Committee: Francis C. Kim (Supervisor), Liu Zheng, Cheong Yi, Donghui Wu
Initial placement of Oliver Zhou: Sun Yat-sen University in China, Assistant Professor

Served as Supervisor of Ph.D. Dissertation:

Mark Bliss (2006), “Board Characteristics, Growth Opportunities and Audit Risks: Some Evidence from Australian Audit Pricing,” City University of Hong Kong, Committee: Francis C. Kim (Supervisor), Ferdinand Gul, Sidney Leung, Suresh Radhakrishnan
Initial placement of Mark Bliss: Hong Kong Polytechnic University in Hong Kong, Assistant Professor

Served as Supervisor of Ph.D. Dissertation:

Simon Y. K. Fung (2005), “Evidence of Audit Quality Differences between Big Five Auditors: An empirical Study,” City University of Hong Kong, Committee: Francis C. Kim (Supervisor), Ferdinand Gul, Sidney Leung, Jagan Krishnan, Mohamed Khalifa
Initial placement of Simon Fung: Hong Kong Polytechnic University in Hong Kong, Assistant Professor.

Served as Supervisor of M.Phil Dissertation:

Sixian Yang (2009), “Management Ownership and Earnings Management,” City University of Hong Kong, Committee: Francis C. Kim (Supervisor), Grant Richardson, Jiang Li

Served as a Member of Ph.D. Dissertation:

Xiaofei Song (1999), “*Analysts’ Annual Earnings Forecast Revisions Around Interim Earnings Announcements.*” Ph.D. Dissertation, Baruch College of City University of New York. Committee: Steven Lustgarten (Chairman), Sudipta Basu, Steven Lilien, and Francis C. Kim.

Served as an external examiner of DBA Dissertation:

Lawrence PingWa LO (2008), “*Insider Trading Laws, Earnings Management and the Cost of Equity Capital.*” DBA Dissertation, Hong Kong Polytechnic University, Committee: Wilson Tong (Chairman), Jeong-Bon Kim (Supervisor) Oliver Meng Rui, and Francis Kim

Served as an external examiner of DBA Dissertation:

Idy MeiLan LAM (2008), “*The Roles of Director Ownership, Legal System and Audit Quality in Determining the Effect of Earnings Quality on Firms’ Cost of Equity and Stock Price Synchronicity.*” DBA Dissertation, Hong Kong Polytechnic University, Committee: Wilson Tong (Chairman), Jeong-Bon Kim (Supervisor) Oliver Meng Rui, and Francis Kim

Served as a Discussant in Conferences:

- Tan, H., J. Wang, R. Zhang, and M. Welker (2017), “*The Walk-down to Beatable Forecasts: International Evidence*,” The First Dragon-Horse Accounting and Finance International Symposium, Beijing, China, June, 2017.
- Singh, M., P. Jiraporn, and A. Nejadmalayeri (2014), “*Does Firm Governance Influence Corporate Political Activism?*” Financial Management Association, October 2014.
- Raman, K.K. (2013), “*Weakened Outside Shareholder Rights in Dual-Class Firms and Timely Loss Recognition*,” Journal of Contemporary Accounting and Economics Symposium, January 2013.
- Peixinho, R. and R. Taffler (2010), “*Analyst Behavior and the Announcement of Bad News: The Case of Going-Concern*,” American Accounting Association Annual Meeting, August 2010.
- Yoon, S.S., H.J. Kim, H. Jo (2009), “*The impact of the wedge on distributions to shareholders*,” American Accounting Association Annual Meeting, August 2009.
- He, Y., and R. Kamath (2005), “*Bankruptcy Prediction of Small Firms in Individual Industries with the Help of Mixed Industry Models*,” Asia-Pacific Journal of Accounting and Economics Symposium, January 2005.
- Elgers, P., M. Lo, R.J. Pfeiffer (2000), “*Delayed Security Price Adjustments to the Information in Financial Analysts’ Forecasts of Annual Earnings*,” American Accounting Association Annual Northeast Regional Meeting, April 2000.

Served as session chair in Conferences:

- Sakawa, H. (2013), “*Corporate Board Structure and Performance in the Banking Industry: Evidence from Japan*,” Discussant: Jennifer Francis, Journal of Contemporary Accounting and Economics Symposium, January 2013.

Serving as a Blind-Reviewer of *Management Science*, 2018 – Present.

Serving as a Blind-Reviewer of *Journal of International Business Studies*, 2017 – Present.

Serving as a Blind-Reviewer of *Journal of Accounting, Auditing, and Finance*, 2015 – Present.

Serving as a Blind-Reviewer of *Pacific-Basin Finance Journal*, 2015 – 2016.

Serving as a Blind-Reviewer of *Contemporary Accounting Research*, 2011 – Present.

Serving as a Blind-Reviewer of *Journal of Banking and Finance*, 2010 – Present.

Serving as a Blind-Reviewer of *Accounting and Finance*, 2011 – 2014.

Serving as a Blind-Reviewer of *European Accounting Review*, 2009 – 2010.

Serving as a Blind-Reviewer of *International Review of Economics and Finance*, 2014 – 2014.

Served as a Blind-Reviewer of *Journal of Contemporary Accounting and Economics*, 2008 - Present.

Served as a Blind-Reviewer of *European Financial Management*, 2003 - 2012.

Served as a Blind-Reviewer of *Financial Analysts Journal*, 2005 - 2012.

Served as a Blind-Reviewer of *Journal of Business Research*, 2009 – 2011.

Serving as a Blind-Reviewer of *Asia-Pacific Journal of Accounting and Economic*, 2003 – 2008, 2012 – Present.

Served as a Blind-Reviewer of *Review of Quantitative Finance and Accounting*, 1998 – 1999.

Served as a Review Panel Member for PSC-CUNY Research Award, City University of New York, 1996 - 2002.

Served as a Section Chair of PSC-CUNY Research Awards Committee: Economics, Finance and Accounting, 2003 - 2004.

Served as a reviewer for the 2012 AAA Annual Meeting at Washington D.C.

Served as a reviewer for the 2011 AAA Annual Meeting at Denver.

Served as a reviewer for the 2010 AAA Annual Meeting at San Francisco.

Served as a reviewer for the 2009 AAA Annual Meeting at New York City.

Served as a reviewer for the 2008 AAA Annual Meeting at Anaheim.

Served as a reviewer for the 2007 AAA Annual Meeting at Chicago.

CONFERENCE PRESENTATIONS:

Feng, C.Q. and C.F. Kim, 2017, “*Mandated XBRL Adoption and Investment Efficiency*,” American Accounting Association Northeastern Regional Meeting, October 2017.

Kim, C.F., M. Ross, and C. Shang, 2016 “*Political Connections and Corporate Innovation Productivity*,” Financial Management Association Annual Meeting, October 2016.

C.F. Kim, I. Kim, C. Pantzalis, and J. Park, 2015, “*Political Connections and Return Predictability*,” Financial Management Association Annual Meeting, October 2016.

Kim, C.F., and L. Zhang, 2013, “*Corporate Political Connections and Tax aggressiveness*,” Invited for presentation at the 28th *Contemporary Accounting Research (CAR) Conference* (Invitation-only Conference), October 2013.

Kim, C.F., I. Kim, C. Pantzalis, and J. Park (2013), “*The Value and Risk Implications of Corporate Political Strategies*,” Financial Management Association Annual Meeting, October 2013.

Kim, C.F., S. Lee, and C. Pantzalis (2008), “*Analyst Forecast Inefficiency in Reaction to Earnings News: Cognitive Bias vs. Economic Incentives*,” Financial Management Association Annual Meeting, October 2008.

Chen, C., Y. Ding, and C.F. Kim (2007), “*Political Connection, Legal Environment and Analysts’ Forecast Attributes*,” Mid-year Conference of International Accounting Section of American Accounting Association, February 2007.

Doukas, J., C.F. Kim, and C. Pantzalis (2004), “*Divergence of Opinion, Equity Returns and Earnings Expectations*,” Southern Financial Association, November 2004.

Doukas, J., C.F. Kim, and C. Pantzalis (2002), “*Analyst Coverage, External Financing, and Investment Opportunities*,” Southern Financial Association, November 2002.

Doukas, J., C.F. Kim, and C. Pantzalis (2001), “*Excess Market Value and Excess Analyst Following*,” Financial Management Association, October 2001.

Doukas, J., C.F. Kim, and C. Pantzalis (2000), “*Book-to-Market, Size and Analyst Coverage Characteristics: A Test for The Alternative Explanation of the Value/Glamour Stock Performance*,” Southern Financial Association, November 2000.

Doukas, J., C.F. Kim, and C. Pantzalis (2000), “*A Test for The Alternative Explanation of the Value/Glamour Stock Performance: Evidence from Analysts’ Forecasts*,”

- Financial Management Association, October 2000.
- Kim, C.F. and S. Kim (2000), “*Analysis Task Difficulty and Multi-Nationality*,” International Conference on Banking and Finance, Kuala Lumpur in Malaysia, August 2000.
- Kim, C.F. and C. Pantzalis (2000), “*Analysts’ Herding Behavior and Multi-Nationality*,” 7th Annual Conference of Multinational Finance Society, April 2000.
- Doukas, J., C.F. Kim, and C. Pantzalis (1999), “*Security Analysis, Agency Costs, and Firm Characteristics*,” Financial Management Association, October 1999
- Kim, C.F. and C. Pantzalis (1999), “*Analysts’ Herding Behavior and Multi-nationality*,” Financial Management Association, October 1999.

CONFERENCE PRESENTATIONS AND PROCEEDINGS:

- Feng, C.Q. and C.F. Kim, 2017, “*Mandated XBRL Adoption and Investment Efficiency*,” American Accounting Association Annual Meeting, August 2018.
- Kim, C.F., K. Wang, and L. Zhang, 2014, “*Readability of 10-K Reports and Stock Price Crash Risk*,” American Accounting Association Annual Meeting, August 2014.
- Kim, C.F., Z. Yang, and Y.O. Zhou, 2013, “*Politically Connected Board and Audit Pricing*,” American Accounting Association Annual Meeting, August 2013.
- Choi, JH, CF Kim, JB Kim, and Y Zang, 2011, “*The Association between Audit Fees and Attributes of Earnings*,” American Accounting Association Annual Meeting, August 2011.
- Kim, C.F., S. Lee, and C. Pantzalis, 2008, “*Analyst Forecast Inefficiency in Reaction to Earnings News: Cognitive Bias vs. Economic Incentives*,” American Accounting Association Annual Meeting, August 2008.
- Chen, C., Y. Ding, and C.F. Kim, 2008, “*Political Connection, Legal Environment and Analysts’ Forecast Attributes around the World*,” American Accounting Association Annual Meeting, August 2008.
- Doukas, J., C.F. Kim, and C. Pantzalis, 2007, “*Do analysts influence corporate financing and investment?*,” American Accounting Association Annual Meeting, August 2007.
- Doukas, J., C.F. Kim, and C. Pantzalis, 2005, “*Two Faces of Analyst Coverage*,” American Accounting Association Annual Meeting, August 2005.
- Doukas, J., C.F. Kim, and C. Pantzalis, 2005, “*Divergence of Opinion and Equity Returns*,” European Financial Management Association Annual Meeting, June 2005.
- Doukas, J., C.F. Kim, and C. Pantzalis, 2002, “*Excess Market Value and Excess Analyst Following*,” American Accounting Association Annual Meeting, August 2002.
- Doukas, J., C.F. Kim, and C. Pantzalis, 2001, “*A Test for The Alternative Explanation of the Value/Glamour Stock Performance: Evidence from Analysts’ Forecasts*,” American Accounting Association Annual Meeting, August 2001.
- Kim, C.F. and C. Pantzalis, 2000, “*Analysts’ Herding Behavior and Multi-nationality*,” American Accounting Association Annual Meeting, August 2000.
- Kim, C.F. and S. Lustgarten, 1998, “*Broker-Analysts’ Incentives for Earnings Forecast Optimism*,” American Accounting Association Annual Meeting, August 1998.

Invited Presentation:

Year 2005: Hong Kong University of Science and Technology, Hong Kong University
Year 2006: Santa Clara University
Year 2007: Chinese University of Hong Kong, Beijing University in China, Seoul National University, Korea Advanced Institute of Science and Technology, and Sogang University in Korea
Year 2008: Xiaman University in China
Year 2014: Seoul National University, Korea Advanced Institute of Science and Technology, Korea University, and Sogang University in Korea, Chinese University of Hong Kong, Hong Kong University
Year 2015: Sogang University and Sungkyunkwan University in Korea
Year 2016: City University of Hong Kong
Year 2017: Central University of Finance and Economics, Beijing, China and Zhongnan University of Economics and Law, Wuhan, China
Year 2018: Zhongnan University of Economics and Law, Wuhan, China

NON-ACADEMIC EXPERIENCE:

Oriental Brewery Co. (64% market shares in Korea), Assistant Manager of Strategic Planning Department, 1981 - 1983.

AWARDS AND HONORS:

Graham and Dodd Readers' Choice Award of 2004 from *Financial Analyst Journal*, "Divergent Opinions and the Performance Value Stock," Coauthored with J. Doukas and C. Pantzalis.

Selected as an Outstanding Paper in Corporate Finance for the Southern Finance Association Conference (2002), "Analyst Coverage, External Financing, and Investment Opportunities," Coauthored with J. Doukas and C. Pantzalis.

Hong Kong Research Grants Council's General Research Fund (GRF), 2012-2014, Political Geography and Bank Loans, HK\$229,684

Hong Kong Research Grants Council's General Research Fund (GRF), 2011-2013, Excess Analyst Coverage and Stock Price Crashes, HK\$268,158

CityU Strategic Research Grant, 2008-2010, *Politically Connected Firms, Legal Enforcement, and Analyst Forecast Attributes around the World*, HK\$179,200.

CityU Strategic Research Grant, 2008-2010, *Analyst Forecast Inefficiency in Reaction to Earnings News: Cognitive Bias vs. Economic Incentives*, HK\$170,168.

CityU Strategic Research Grant, 2006-2008, *Do Firms with Private Debt Pay a Price to Manage Earnings?* HK\$256,157.

CityU Strategic Research Grant, 2005-2006, *Investment Judgement Biases and Stock Returns*, HK\$93,509.

CityU Start-up Grant, 2004-2006, *The Effect of Analyst Coverage on Equity Mispricing*, HK\$238,936

PSC-CUNY Research Award, City University of New York, 2000 - 2001, US\$5,000. "Security Analysis, Agency Costs, and Industry Diversification."

PSC-CUNY Research Award, City University of New York, 1999 - 2000, US\$5,000.

“Analyst Coverage: Selection vs. Strategy.”

PSC-CUNY Research Award, City University of New York, 1998 - 1999, US\$8,500.

“Opportunistic and Efficiency Aspects of Earnings Management.”

PSC-CUNY Research Award, City University of New York, 1997 - 1998, US\$11,693.

“Managerial/Institutional Ownership, Earnings Management.”

PSC-CUNY Research Award, City University of New York, 1995 - 1996, US\$4,200.

“Broker-Analysts’ Earnings Forecast Bias and Trade-Boosting Incentive.”

Departmental Fellowship, Queens College of City University of New York, 1995.

State Funded University Fellowship at the City University of New York, 1988 - 1989.

PROFESSIONAL MEMBERSHIP:

American Accounting Association

Financial Management Association

Beta Gamma Sigma