

| Sponsors                              | 2010-11 |     |     |     |             | 2011-12 |     |    |    |            |
|---------------------------------------|---------|-----|-----|-----|-------------|---------|-----|----|----|------------|
|                                       | Q1      | Q2  | Q3  | Q4  | Total       | Q1      | Q2  | Q3 | Q4 | Total      |
| <b>Federal</b>                        | 208     | 205 | 239 | 166 | <b>818</b>  | 185     | 175 |    |    | <b>360</b> |
| <i>NSF</i>                            | 98      | 77  | 92  | 38  | 305         | 88      | 74  |    |    | 162        |
| <i>DHHS (includes NIH)</i>            | 68      | 87  | 103 | 84  | 342         | 54      | 72  |    |    | 126        |
| <i>Dept of Defense</i>                | 10      | 14  | 10  | 11  | 45          | 17      | 9   |    |    | 26         |
| <i>Dept of Energy</i>                 | 4       | 19  | 13  | 8   | 44          | 10      | 13  |    |    | 23         |
| <i>NASA</i>                           | 11      | 2   | 8   | 9   | 30          | 3       | 3   |    |    | 6          |
| <i>Other Federal Total</i>            | 17      | 6   | 13  | 16  | 52          | 13      | 4   |    |    | 17         |
| <b>Business and Industry</b>          | 71      | 92  | 105 | 90  | <b>358</b>  | 91      | 78  |    |    | <b>169</b> |
| <b>Foundation/Private Non-Profit</b>  | 61      | 55  | 77  | 44  | <b>237</b>  | 60      | 33  |    |    | <b>93</b>  |
| <b>Higher Education</b>               | 30      | 35  | 48  | 28  | <b>141</b>  | 45      | 25  |    |    | <b>70</b>  |
| <b>State and Local Govt.</b>          | 29      | 29  | 16  | 32  | <b>106</b>  | 22      | 41  |    |    | <b>63</b>  |
| <b>Foreign</b>                        | 9       | 6   | 4   | 4   | <b>23</b>   | 12      | 2   |    |    | <b>14</b>  |
| <b>Other</b>                          |         |     | 4   | 6   | <b>10</b>   | 2       | 1   |    |    | <b>3</b>   |
| <b>Total</b>                          | 408     | 422 | 493 | 370 | <b>1693</b> | 417     | 355 |    |    | <b>772</b> |
| <i>Brookhaven National Laboratory</i> | 10      | 18  | 18  | 20  | 66          | 20      | 16  |    |    | 36         |

| Proposal Type | Q1  | Q2  | Q3  | Q4  | Total       | Q1  | Q2  | Q3 | Q4 | Total      |
|---------------|-----|-----|-----|-----|-------------|-----|-----|----|----|------------|
| New           | 294 | 302 | 353 | 257 | <b>1206</b> | 299 | 266 |    |    | <b>565</b> |
| Revision      | 54  | 66  | 26  | 33  | <b>179</b>  | 32  | 17  |    |    | <b>49</b>  |
| Continuation  | 36  | 13  | 39  | 46  | <b>134</b>  | 42  | 28  |    |    | <b>70</b>  |
| Renewal       | 15  | 31  | 22  | 16  | <b>84</b>   | 22  | 15  |    |    | <b>37</b>  |
| Resubmission  | 8   | 10  | 40  | 5   | <b>63</b>   | 15  | 21  |    |    | <b>36</b>  |
| Other         | 1   |     | 13  | 13  | <b>27</b>   | 7   | 8   |    |    | <b>15</b>  |
| <b>Total</b>  | 408 | 422 | 493 | 370 | <b>1693</b> | 417 | 355 | 0  | 0  | <b>772</b> |

| Proposal Status                                   | Q1  | Q2  | Q3  | Q4  | Total       | Q1  | Q2  | Q3 | Q4 | Total      |
|---|-----|-----|-----|-----|-------------|-----|-----|----|----|------------|
| Awarded   | 192 | 185 | 168 | 160 | <b>705</b>  | 145 | 60  |    |    | <b>205</b> |
| Pending   | 136 | 179 | 268 | 185 | <b>768</b>  | 245 | 288 |    |    | <b>533</b> |
| Rejected  | 66  | 51  | 49  | 21  | <b>187</b>  | 23  | 2   |    |    | <b>25</b>  |
| Revision Requested                                | 3   | 1   | 1   |     | <b>5</b>    | 1   |     |    |    | <b>1</b>   |
| Void (Created in error/ PI decided not to submit) | 8   | 3   |     |     | <b>11</b>   |     | 4   |    |    | <b>4</b>   |
| Withdrawn (by PI)                                 | 3   | 3   | 7   | 4   | <b>17</b>   | 3   | 1   |    |    | <b>4</b>   |
| <b>Total</b>                                      | 408 | 422 | 493 | 370 | <b>1693</b> | 417 | 355 | 0  | 0  | <b>772</b> |

| ARRA vs Non-ARRA          | Q1  | Q2  | Q3  | Q4  | Total       | Q1  | Q2  | Q3 | Q4 | Total      |
|---------------------------|-----|-----|-----|-----|-------------|-----|-----|----|----|------------|
| ARRA                      | 3   | 0   | 0   | 0   | <b>3</b>    | 0   | 0   | 0  | 0  | <b>0</b>   |
| Non-ARRA Grants/Contracts | 405 | 422 | 493 | 370 | <b>1690</b> | 417 | 355 | 0  | 0  | <b>772</b> |
| <b>Total</b>              | 408 | 422 | 493 | 370 | <b>1693</b> | 417 | 355 | 0  | 0  | <b>772</b> |

| Organization Unit                              | 2010-11 |    |    |    | 2011-12 |    |    |    | Total |
|--|---------|----|----|----|---------|----|----|----|-------|
|  | Q1      | Q2 | Q3 | Q4 | Q1      | Q2 | Q3 | Q4 |       |
| Administration                                 | 1       | 4  | 3  |    | 8       | 1  |    |    | 1     |
| Allergy/Immunology                             |         | 1  | 2  | 1  | 4       | 2  | 1  |    | 3     |
| Anatomical Sciences                            | 1       | 7  | 10 | 2  | 20      | 3  | 1  |    | 4     |
| Anesthesiology                                 | 4       |    | 5  | 7  | 16      | 4  | 6  |    | 10    |
| Anthropology                                   | 13      | 13 | 7  | 8  | 41      | 5  | 4  |    | 9     |
| Applied Mathematics and Statistics             | 11      | 3  | 10 | 8  | 32      | 12 | 4  |    | 16    |
| Art  |         |    | 1  |    | 1       |    |    |    | 0     |
| Biochemistry and Cell Biology                  | 9       | 10 | 14 | 10 | 43      | 11 | 10 |    | 21    |
| Biomedical Engineering                         | 17      | 16 | 18 | 22 | 73      | 16 | 17 |    | 33    |
| Cancer Center                                  |         |    | 1  |    | 1       |    |    |    | 0     |
| Cardiology                                     |         | 4  | 6  | 4  | 14      | 6  | 2  |    | 8     |
| Center for Biotechnology                       |         |    |    | 3  | 3       | 1  |    |    | 1     |
| Center for Excellence in Learning and Teaching | 1       |    |    |    | 1       |    |    |    | 0     |
| Center for Excellence in Wireless Technology   | 1       |    |    | 2  | 3       |    | 2  |    | 2     |
| Chemistry                                      | 10      | 26 | 34 | 17 | 87      | 19 | 22 |    | 41    |
| Children's Dentistry                           | 1       | 3  | 3  | 2  | 9       |    | 1  |    | 1     |
| Clinical Laboratory Sciences                   |         |    | 2  |    | 2       |    |    |    | 0     |
| College of Business                            |         |    | 1  |    | 1       |    |    |    | 0     |
| Computer Science                               | 27      | 22 | 17 | 8  | 74      | 20 | 32 |    | 52    |
| Dental Medicine                                |         |    |    | 1  | 1       |    |    |    | 0     |
| Division of Laboratory Animal Resources        |         | 1  |    |    | 1       |    |    |    | 0     |
| Ecology and Evolution                          | 7       | 10 | 9  | 6  | 32      | 4  | 5  |    | 9     |
| Economics                                      |         | 3  |    |    | 3       | 1  |    |    | 1     |
| Electrical Engineering                         | 16      | 20 | 27 | 16 | 79      | 22 | 13 |    | 35    |
| Emergency Medicine                             |         | 2  | 2  | 2  | 6       | 3  | 4  |    | 7     |
| Endocrinology/Metabolism                       |         | 4  |    |    | 4       | 1  |    |    | 1     |
| English  | 4       |    |    |    | 4       |    |    |    | 0     |
| European Languages/Literature and Cultures     |         | 1  |    |    | 1       |    |    |    | 0     |
| Family Medicine                                | 3       | 1  | 3  | 1  | 8       | 6  | 1  |    | 7     |
| Gastroenterology/Hepatology                    | 1       | 1  | 3  | 3  | 8       | 3  | 1  |    | 4     |
| General Dentistry                              | 1       | 1  | 1  |    | 3       | 1  |    |    | 1     |
| Geosciences                                    | 21      | 12 | 18 | 12 | 63      | 14 | 9  |    | 23    |
| Geriatric & General Medicine                   |         |    | 1  |    | 1       |    |    |    | 0     |
| Health Care Policy and Management              |         |    |    |    | 0       | 2  |    |    | 2     |
| Hematology                                     | 5       | 4  | 15 | 4  | 28      | 7  | 6  |    | 13    |
| Hispanic Languages and Literature              | 1       |    |    |    | 1       |    |    |    | 0     |
| Infectious Diseases                            | 1       | 2  | 1  | 1  | 5       |    |    |    | 0     |
| Institute for Theoretical Physics              | 3       | 1  |    |    | 4       | 2  | 5  |    | 7     |
| Interdepartmental - School of Medicine         | 1       |    | 1  |    | 2       |    |    |    | 0     |
| Journalism Program                             | 1       |    | 2  | 1  | 4       | 1  | 1  |    | 2     |
| Laufer Center for Comp Bio & Genome Sci        |         |    | 4  | 3  | 7       |    | 1  |    | 1     |
| Linguistics                                    | 1       |    | 5  |    | 6       | 2  |    |    | 2     |
| Long Island Regional Advisory Board            |         | 1  |    |    | 1       |    |    |    | 0     |
| Marine Environmental Studies                   | 1       | 1  |    |    | 2       |    |    |    | 0     |
| Materials Science and Engineering              | 16      | 18 | 17 | 19 | 70      | 18 | 14 |    | 32    |
| Mathematical Science Institute                 |         | 2  |    |    | 2       | 2  | 1  |    | 3     |
| Mathematics                                    | 10      | 6  | 1  |    | 17      | 7  | 7  |    | 14    |
| Mechanical Engineering                         | 22      | 13 | 12 | 23 | 70      | 24 | 13 |    | 37    |
| Medicine, Chair                                | 1       | 13 | 6  | 11 | 31      | 4  | 6  |    | 10    |
| Mineral Physics Institute                      | 1       | 2  | 2  | 1  | 6       | 4  | 1  |    | 5     |
| Molecular Genetics and Microbiology            | 16      | 15 | 25 | 15 | 71      | 10 | 16 |    | 26    |
| Music  |         | 1  |    |    | 1       |    |    |    | 0     |
| Nephrology and Hypertension                    | 2       | 2  | 2  | 2  | 8       | 2  |    |    | 2     |
| Neurobiology and Behavior                      | 10      | 11 | 8  | 7  | 36      | 11 | 12 |    | 23    |
| Neurological Surgery                           | 2       | 2  | 5  | 5  | 14      | 4  | 3  |    | 7     |
| Neurology                                      | 3       | 3  | 7  | 4  | 17      | 4  | 4  |    | 8     |
| Obstetrics & Gyn - Primary Care                | 2       |    |    |    | 2       |    |    |    | 0     |

| Organization Unit                               | 2010-11 |     |     |     | 2011-12 |     |     |    | Total |     |
|---|---------|-----|-----|-----|---------|-----|-----|----|-------|-----|
|   | Q1      | Q2  | Q3  | Q4  | Q1      | Q2  | Q3  | Q4 |       |     |
| Obstetrics & Gynecology                         |         |     |     |     | 0       | 2   | 1   |    | 3     |     |
| Occupational Therapy                            |         |     | 2   |     | 2       | 1   | 2   |    | 3     |     |
| Office of Sponsored Programs                    | 1       |     | 1   |     | 2       |     |     |    | 0     |     |
| Office of the Dean and Director of Libraries    |         |     | 1   | 2   | 3       | 1   |     |    | 1     |     |
| Office of the Dean CEAS                         |         |     |     |     | 0       | 1   |     |    | 1     |     |
| Office of the Dean of Dental Medicine           |         |     | 1   |     | 1       |     |     |    | 0     |     |
| Office of the Dean of Graduate Studies          | 1       | 1   |     |     | 2       |     |     |    | 0     |     |
| Office of the Dean of Health Technology and Mgt | 4       |     | 4   | 6   | 14      | 4   | 6   |    | 10    |     |
| Office of the Dean of Medicine                  |         |     | 3   | 1   | 4       |     |     |    | 0     |     |
| Office of the Dean of Nursing                   | 1       | 1   | 5   | 1   | 8       | 1   | 1   |    | 2     |     |
| Office of the Dean of Social Welfare            | 1       | 12  | 3   | 1   | 17      | 4   | 7   |    | 11    |     |
| Office of the Provost                           |         | 1   | 1   |     | 2       |     |     |    | 0     |     |
| Oral Biology and Pathology                      | 3       | 4   | 4   | 4   | 15      | 3   | 3   |    | 6     |     |
| Orthopaedics                                    | 3       | 3   | 1   |     | 7       | 3   | 2   |    | 5     |     |
| Pathology                                       | 6       | 7   | 12  | 4   | 29      | 4   | 12  |    | 16    |     |
| Pediatrics                                      | 15      | 14  | 13  | 13  | 55      | 7   | 9   |    | 16    |     |
| Periodontics                                    | 2       |     |     | 1   | 3       |     |     |    | 0     |     |
| Pharmacological Sciences                        | 12      | 15  | 23  | 14  | 64      | 16  | 19  |    | 35    |     |
| Philosophy                                      | 2       |     |     |     | 2       | 2   |     |    | 2     |     |
| Physical Therapy                                |         |     | 1   |     | 1       | 3   | 2   |    | 5     |     |
| Physician Assistant Education                   |         | 1   |     |     | 1       |     |     |    | 0     |     |
| Physics and Astronomy                           | 20      | 32  | 17  | 22  | 91      | 17  | 24  |    | 41    |     |
| Physiology and Biophysics                       | 4       | 1   | 14  | 5   | 24      | 12  | 8   |    | 20    |     |
| Political Science                               | 2       |     | 4   |     | 6       | 1   | 1   |    | 2     |     |
| President                                       |         |     | 1   |     | 1       |     |     |    | 0     |     |
| Preventive Medicine                             | 8       | 5   | 6   | 6   | 25      | 9   | 3   |    | 12    |     |
| Professional Education Program                  |         | 1   |     |     | 1       |     |     |    | 0     |     |
| Psychiatry                                      | 8       | 11  | 5   | 11  | 35      | 20  | 6   |    | 26    |     |
| Psychology                                      | 13      | 14  | 14  | 3   | 44      | 8   | 5   |    | 13    |     |
| Pulmonary & Critical Care                       | 5       |     | 2   |     | 7       | 3   | 1   |    | 4     |     |
| Radiation Oncology                              | 1       | 1   | 1   |     | 3       | 1   | 1   |    | 2     |     |
| Radiology                                       | 3       | 3   | 5   | 5   | 16      | 2   | 1   |    | 3     |     |
| Regional and Economic Development               | 1       |     |     |     | 1       |     |     |    | 0     |     |
| Rheumatology                                    | 1       |     |     |     | 1       |     |     |    | 0     |     |
| School of Marine and Atmospheric Sciences       | 29      | 14  | 16  | 22  | 81      | 23  | 7   |    | 30    |     |
| Sea Grant                                       |         | 1   | 6   | 1   | 8       | 1   |     |    | 1     |     |
| Simons Center for Geometry & Physics            |         |     | 1   |     | 1       |     | 1   |    | 1     |     |
| Small Business Development Center               | 1       |     |     |     | 1       |     | 1   |    | 1     |     |
| Sociology                                       |         | 3   | 1   | 2   | 6       | 1   | 1   |    | 2     |     |
| Staller Center for Performing Arts              | 4       | 3   |     | 2   | 9       |     | 1   |    | 1     |     |
| Surgery   | 2       | 7   | 7   | 4   | 20      | 3   | 3   |    | 6     |     |
| Technology and Society                          | 7       | 3   | 6   | 6   | 22      | 3   | 1   |    | 4     |     |
| Undergraduate Biology                           |         |     | 1   |     | 1       |     |     |    | 0     |     |
| Vice President for Economic Development         |         | 1   |     | 2   | 3       | 3   |     |    | 3     |     |
| Women in Science                                |         | 1   |     | 1   | 2       |     |     |    | 0     |     |
|   | 408     | 422 | 493 | 370 | 1693    | 417 | 355 | 0  | 0     | 772 |