





General Prohibitions

The EAR groups restrictions on exports into ten categories, referred to as the “General Prohibitions.”

General Prohibitions 1-3: Linked to Commerce Control List Entries

General Prohibition 1: An “X” in the CCL/Country Chart matrix (15 C.F.R. Part 738 Supp. 1) (*i.e.*, item or technology controlled for export/ re-export to a particular country based on a particular reason for control (*e.g.*, Anti-Terrorism (“AT”))).

General Prohibition 2: More than *de minimis* U.S.-origin content.

General Prohibition 3: May apply if foreign-produced direct products of U.S.-origin software or technology are controlled for national security reasons.

General Prohibitions 4-10: Not Control-List Based

General Prohibition 4: Denial orders. Bars denied person from exporting or re-exporting items subject to the EAR and bars other parties from furnishing such items to denied parties.

General Prohibition 5: End Use/End User. Bars export and re-export of items subject to EAR (including EAR 99 items) to proliferation-related end use or end-user specified in 15 C.F.R. Part 744.

General Prohibition 6: Prohibits exports or re-exports to embargoed countries.

General Prohibition 7: Prohibits unlicensed involvement of U.S. persons in activities related to proliferation of weapons of mass destruction or of missile delivery systems.

General Prohibition 8: Prohibition against unloading or shipping certain items in transit.

General Prohibition 9: Prohibition against violating terms of license or license exception.

General Prohibition 10: Prohibition against proceeding with knowledge of a violation.